

MORRISON | FOERSTER755 PAGE MILL ROAD
PALO ALTO
CALIFORNIA 94304-1018TELEPHONE: 650.813.5600
FACSIMILE: 650.494.0792

WWW.MOFO.COM

MORRISON & FOERSTER LLP
NEW YORK, SAN FRANCISCO,
LOS ANGELES, PALO ALTO,
SAN DIEGO, WASHINGTON, D.C.
DENVER, NORTHERN VIRGINIA,
ORANGE COUNTY, SACRAMENTO,
WALNUT CREEK, CENTURY CITY
TOKYO, LONDON, BEIJING,
SHANGHAI, HONG KONG,
SINGAPORE, BRUSSELS**RECEIVED
CENTRAL FAX CENTER
AUG 08 2006****To:**

NAME:	FACSIMILE:	TELEPHONE:
Commissioner for Patents U.S. Patent and Trademark Office	571-273-8300	571-272-1352

FROM: Charles D. Holland**DATE:** August 8, 2006

Number of pages with cover page:	6	Originals Will Not Follow
-------------------------------------	---	---------------------------

Preparer of this slip has confirmed that facsimile number given is correct: 10852/say4**Comments:**

Attorney Docket No.: 220772011600
 Group Art Unit: 1754
 Examiner: E. Johnson
 Application No.: 10/527,512
 International Filing Date: September 10, 2003
 Inventors: Alexander SCHLUTTIG et al.
 Title: METHOD FOR THE REGENERATION OF PHOSPHOR-LADEN
 DENOX CATALYSTS

Papers attached:

1. Transmittal (1 page)
2. Revocation of Power of Attorney with New Power of Attorney and Change of Correspondence Address (1 page)
3. Statement Under 37 CFR 3.73(b) plus copy of Assignment from Catalytica Energy Systems, Inc. to CESI-Tech Technologies, Inc. (3 pages)

 To ensure compliance with requirements imposed by the United States Internal Revenue Service, Morrison & Foerster LLP informs you that, if any advice concerning one or more U.S. Federal tax issues is contained in this facsimile (including any attachments), such advice is not intended or written to be used, and cannot be used, for the purpose of (i) avoiding penalties under the Internal Revenue Code or (ii) promoting, marketing or recommending to another party any transaction or matter addressed herein.

CAUTION - CONFIDENTIAL

This facsimile contains confidential information that may also be privileged. Unless you are the addressee (or authorized to receive for the addressee); you may not copy, use, or distribute it. If you have received it in error, please advise Morrison & Foerster LLP immediately by telephone or facsimile and return it promptly by mail.

**IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL
 SANDY YI AT 650-813-5663 AS SOON AS POSSIBLE.**

PA-1084386

RECEIVED
CENTRAL FAX CENTER

AUG 08 2006

PTO/SB/21 (09-04)

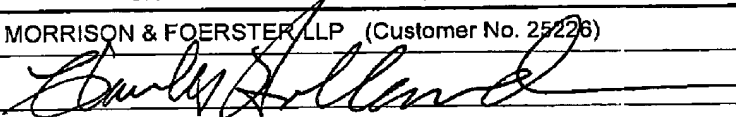
Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/527,512
		Filing Date	September 10, 2003 (Int'l)
		First Named Inventor	Alexander SCHLUTTIG
		Art Unit	1754
		Examiner Name	E. Johnson
Total Number of Pages in This Submission	5	Attorney Docket Number	220772011600

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (1 page) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Statement Under 37 CFR 3.73(b) plus copy of Assignment from Catalytica Energy Systems, Inc. to CESI-Tech Technologies, Inc. (3 pages) 2. Fax Cover Sheet
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature			
Printed name	Charles D. Holland		
Date	August 8, 2006	Reg. No.	35,196

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, facsimile no. 571-273-8300, on the date shown below.	
Dated: August 8, 2006	Signature: <u>Sandy Yi</u> (Sandy Yi)

pa-1084379

RECEIVED
CENTRAL FAX CENTER

AUG 08 2006

PTO/SB/82 (01-06)

Approved for use through 12/31/2008. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS	Application Number	10/527,512
	Filing Date	September 10, 2003 (Int'l)
	First Named Inventor	Alexander SCHLUTTIG
	Art Unit	1754
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	220772011600

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

OR

☐ Firm or
Individual Name

Address

City

Country

State

Zip

Telephone

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Richard M. Weinroth, Secretary, CESI-Tech Technologies, Inc.

Date

July 18, 2006

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒

*Total of

1

forms are submitted.

pa-1066036

PTO/SB/96 (09-04)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Alexander SCHLUTTIG et al.Application No./Patent No.: 10/527,512 Filed/Issue Date: September 10, 2003 (Int'l)Entitled: METHOD FOR THE REGENERATION OF PHOSPHOR-LADEN DENOX CATALYSTS

CESI-Tech Technologies, Inc., a corporation
 (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or2. ☐ an assignee of less than the entire right, title and interest.

The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Alexander SCHLUTTIG and Marcel FÖRSTER To: Envica GmbH
 The document was recorded in the United States Patent and Trademark Office at Reel 017715, Frame 0178, or for which a copy thereof is attached.

2. From: ENVICA GmbH To: ENVICA Kat GmbH
 The document was recorded in the United States Patent and Trademark Office at Reel 017624, Frame 0947, or for which a copy thereof is attached.

3. From: Envica Kat GmbH To: Catalytica Energy Systems, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel 017324, Frame 0333, or for which a copy thereof is attached.

4. From: Catalytica Energy Systems, Inc. To: CESI-Tech Technologies, Inc.
 The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.
 [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

[Signature]
 Signature

July 18, 2006
 Date

Richard M. Weinroth
 Printed or Typed Name

 Telephone Number

 Secretary
 Title

Attorney Docket No.: 220772011600

pa-1066038

COPY

ASSIGNMENT

COPY

THIS ASSIGNMENT, by Catalytica Energy Systems, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 1388 North Tech Boulevard, Gilbert, Arizona 85233 (hereinafter referred to as the assignor), is the owner by assignment, of rights, title and interest in the following patent applications:

- (1) U.S. Patent Application No. 10/527,512 entitled "METHOD FOR THE REGENERATION OF PHOSPHOR-LADEN DENOX CATALYSTS" filed September 10, 2003 (Int'l); and
- (2) U.S. Patent Application No. 10/526,336 entitled "METHOD FOR REGENERATING IRON-LOADED DENOX CATALYSTS" filed September 4, 2003 (Int'l).

WHEREAS, CESI-Tech Technologies, Inc., a corporation duly organized under and pursuant to the laws of Delaware and having its principal place of business at 1388 North Tech Boulevard, Gilbert, Arizona 85233 (hereinafter referred to as the assignee) is desirous of acquiring the entire right, title and interest in and to said patent applications for Letters Patent of the United States, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon:

NOW, THEREFORE, in consideration of One Dollar (\$1.00) and other good and sufficient consideration, the receipt of which is hereby acknowledged, said assignor has sold, assigned, transferred and set over, and by these presents does sell, assign, transfer and set over, unto said assignee, its successors, legal representatives and assigns, the entire right, title and interest in and to the above-mentioned inventions, application for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor and thereon, and in and to any and all divisions, continuations and continuations-in-part of said application, or reissues or extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by said assignee, for its own use and the use of its successors, legal representatives and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the assignor, had this sale and assignment not been made.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee its successors, legal representatives and assigns, that, at the time of execution and delivery of these presents, said assignor is the sole and lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that said assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

AND for the same consideration, said assignor hereby covenants and agrees to and with said assignee, its successors, legal representatives and assigns, that said assignor will, whenever counsel of said assignee, or the counsel of its successor, legal representatives and assigns, shall advise that any proceeding in connection with said inventions, or said application for Letters Patent, or any proceeding in connection with Letters Patent for said inventions in any country, including interference proceedings, is lawful and desirable, or that any division, continuation or continuation-

in-part of any application for Letters Patent or any reissue or extension of any Letters Patent, to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent for said inventions, without charge to said assignee, its successors, legal representatives and assigns, but at the cost and expense of said assignee, its successors, legal representatives and assigns.

AND said assignor hereby requests the Commissioner of Patents to issue said Letters Patent of the United States to said assignee as the assignee of said inventions and the Letters Patent to be issued thereon for the sole use of said assignee, its successors, legal representatives and assigns.

ASSIGNOR:

CATALYTICA ENERGY SYSTEMS, INC.

July 18, 2006
Date

By: 

Richard Weinroth
(Typed or Printed Name)

Title: Secretary

ASSIGNEE:

CESI-TECH TECHNOLOGIES, INC.

July 18, 2006
Date

By: 

Richard Weinroth
(Typed or Printed Name)

Title: Secretary